



Letko, Brosseau & Associates Inc.

## Senior Advisor, Regulatory Compliance

**Letko, Brosseau & Associates Inc.** is one of Canada's leading independent investment management firms, serving both institutional and high net worth clients. The firm's approach is based on fundamental analysis, broad diversification, an international perspective, careful security selection and low turnover. This approach has generated solid long-term results for clients since the firm's foundation in 1987. Outstanding financial performance, superior customer service and high standards of business ethics are the firm's guiding principles that contributed to its success over the years.

We are looking for an individual with excellent knowledge and several years of experience in regulatory compliance to contribute to the development, deployment, and implementation of compliance programs.

Under the direction of the Director, Regulatory Compliance, the incumbent will also be responsible for the application and compliance by Letko, Brosseau & Associates Inc. and its employees with the laws, regulations, instructions, directives, and policies issued by Letko, Brosseau & Associates Inc. as well as those issued by the regulatory authorities to which the firm is subject.

You will play an advisory and support role to the Director, Regulatory Compliance. You will advise on applicable laws, regulations, policies, and procedures and develop tools and work processes related to regulatory compliance.

Your responsibilities will include, among other things:

- Collaborate in the development, deployment, and implementation of compliance programs and compliance training.
- Participate in the development and implementation of policies and procedures to ensure regulatory requirements are met. Use your expertise to suggest improvements.
- Perform a regulatory watch to identify changes that have an impact on the firm's regulatory obligations and ensure that these changes are known to the specific departments concerned or to the entire organization and implemented.
- Act as a resource person for the Regulatory Compliance team as well as for the other departments.
- Work in collaboration with the Director, Regulatory Compliance to respond to various requests during regulatory audits, reviews and investigations, internal and external audits aimed at the compliance sector.
- Collaborate with external legal advisors.
- Participate in various projects of the firm for legal and regulatory aspects (securities laws and all other applicable laws).

You will have a professional support role in your field with the members of several departments such as trading, financial analysis, portfolio management, client services and accounting.

You will thrive in this position if:

- You are a hands-on person, and you like to get involved at all levels, including at the operational level.
- You excel in an environment characterized by tight deadlines.
- You approach new challenges with a positive solution-oriented attitude.
- You are extremely organized, proactive and pay meticulous attention to detail.
- You work calmly and efficiently and know how to manage your priorities.

**Required profile :**

- University degree in a relevant field.
- Have a minimum of seven years of experience in regulatory compliance in securities industry.
- Excellent analytical and synthesis skills.
- Straightforward and diplomatic personality who enjoys teamwork.
- Honest and ethical.
- Autonomous and resourceful.
- Have good judgment, a sense of initiative and a sense of work well done.
- Excellent knowledge of English and French, both written and spoken.

The incumbent will be one point of contact for employees located in our various Canadian offices. He must be able to understand and ensure compliance with the applicable regulations which may be available only in English. He must also be able to interact only in English with regulatory authorities and external legal advisers.

**Salary and Benefits :**

- Very attractive and competitive base salary, plus annual bonus.
- Hybrid model (office work 3 days / week).
- Generous medical, dental and life insurance as well as short and long-term disability insurance plans as of day 1.
- Employer contribution to a deferred profit-sharing plan (DPSP).
- Corporate discount for your membership in a fitness center.
- Our offices are in downtown Montreal, easily accessible by public transit.

Our mission is to provide a supportive and inclusive environment where all individuals can maximize their full potential.

If you are interested in this challenge, please submit your resume to: [careers@lba.ca](mailto:careers@lba.ca)

We thank all candidates for applying. However only candidates selected for interviews will be contacted.