



Letko, Brosseau & Associates Inc.

Senior Analyst, Regulatory Compliance

Letko, Brosseau & Associates is one of Canada's leading independent investment management firms, serving both institutional and high net worth clients. The firm's approach is based on fundamental analysis, broad diversification, an international perspective, careful security selection and low turnover. This approach has generated solid long-term results for clients since the firm's foundation in 1987. Outstanding financial performance, superior customer service and high standards of business ethics are the firm's guiding principles that contributed to its success over the years.

We are looking for an individual with excellent knowledge and several years of experience in regulatory compliance to contribute to the development, deployment, and implementation of compliance programs.

Under the direction of the Director, Regulatory Compliance, the incumbent will also be responsible for the application and compliance by Letko, Brosseau & Associates Inc. and its employees with the laws, regulations, instructions, directives, and policies issued by Letko, Brosseau & Associates Inc. as well as those issued by the regulatory authorities to which the firm is subject.

You will play a supporting role to the Director, Regulatory Compliance. You will advise on applicable laws, regulations, policies, and procedures and develop tools and work processes related to regulatory compliance

Your work will include, but is not limited to:

- Collaborate in the development, deployment, and implementation of compliance programs and compliance training.
- Serve as the first point of contact for other departments of the firm on compliance issues.
- Verify internal procedures and controls regarding compliance with regulatory requirements and propose solutions for non-compliant situations, if applicable.
- Prepare and file regulatory reports (e.g., Exempt Investment Return (45-106), Ontario Securities Commission Risk Assessment Questionnaire, Annual Return to the CRA (FATCA-NCD), etc.).
- Participate in the review and approval of external communications to ensure compliance with regulatory requirements.

You will have a professional support role in your field with the members of several departments such as trading, financial analysis, portfolio management, client services and accounting.

You will thrive in this position if:

- You excel in an environment characterized by tight deadlines.
- You approach new challenges with a positive solution-oriented attitude.
- You are extremely organized, proactive and pay meticulous attention to detail.
- You work calmly and efficiently and know how to manage your priorities.

Required profile :

- University degree in a relevant field.
- Have a minimum of five years of experience in regulatory compliance in securities industry.
- Excellent analytical and synthesis skills.
- Straightforward and diplomatic personality who enjoys teamwork.
- Honest and ethical.
- Autonomous.
- Have good judgment, a sense of initiative and a sense of work well done.
- Excellent knowledge of English and French, both written and spoken.

The incumbent will be the first point of contact for employees located in our various Canadian offices. He will be responsible for filing regulatory reports in Ontario, Alberta and with the federal government. He will also review and approve external communications in French and English intended for our current and potential clients in Canada and abroad.

Salary and Benefits :

- Very attractive and competitive base salary, plus annual bonus.
- Hybrid model (work from home and the office).
- Generous medical, dental and life insurance as well as short and long-term disability insurance plans as of day 1.
- Employer contribution to a deferred profit-sharing plan (DPSP).
- Corporate discount for your membership in a fitness center.
- Our offices are in downtown Montreal, easily accessible by public transit.

Our mission is to provide a supportive and inclusive environment where all individuals can maximize their full potential.

If you are interested in this challenge, please submit your resume to: careers@lba.ca

We thank all candidates for applying. However only candidates selected for interviews will be contacted.