



Letko, Brosseau & Associates Inc.

Regulatory Compliance Agent

Letko, Brosseau & Associates is one of Canada's leading independent investment management firms, serving both institutional and high net worth clients. The firm's approach is based on fundamental analysis, broad diversification, an international perspective, careful security selection and low turnover. This approach has generated solid long-term results for clients since the firm's foundation in 1987. Outstanding financial performance, superior customer service and high standards of business ethics are the firm's guiding principles that contributed to its success over the years.

Under the direction of the Director, Regulatory Compliance, the position holder will ensure that Letko, Brosseau & Associates Inc. and its employees, comply with the laws, regulations, instructions, directives and policies issued by Letko, Brosseau & Associates Inc. and those issued by the regulatory authorities to which the firm is subject. Your duties will be, among others, to:

- Participate in the review and approval of external communications to ensure compliance with regulatory requirements.
- Verify internal procedures and controls relating to compliance with regulatory requirements.
- Prepare and file reports for regulatory requirements.
- Analyze exception reports and monitor highlighted exceptions.
- Collaborate in the implementation of compliance programs and compliance training.

You will work closely with the members of several departments such as trading, financial analysis, portfolio management, client services and accounting.

Required profile:

- University degree in a relevant field;
- Have one to five years of experience in regulatory compliance in securities industry;
- Excellent analytical and synthesis skills;
- Straightforward and diplomatic personality who enjoys team work;
- Honest and ethical;
- Autonomous, proactive, organized and meticulous;
- Able to manage priorities;
- Have good judgment, a sense of initiative and a sense of work well done;
- A perfect knowledge of English and French, both written and spoken.

Salary and Benefits:

- Very attractive and competitive base salary, plus annual bonus;
- Generous medical, dental and life insurance as well as short and long-term disability insurance plans as of day 1;
- Employer contribution to a deferred profit-sharing plan (DPSP);
- Corporate discount for your membership in a fitness center;
- Our offices are in downtown Montreal, easily accessible by public transit.

LetkoBrosseau

Our mission is to provide a supportive and inclusive environment where all individuals can maximize their full potential.

If you are interested in this challenge, please submit your resume to: careers@lba.ca

We thank all candidates for applying, however only candidates selected for interviews will be contacted.